



## INSTITUTIONAL FEE SCHEDULE

1	<b>Account Establishment Fees</b>	
	Account Set-up	\$50

2

Annual Maintenance Fees (Charged at establishment and each January thereafter)						
Account Type	Asset Types Permitted	Annual Fee				
Basic	<b>May hold:</b> <ul style="list-style-type: none"><li>• Mutual Funds</li><li>• Public LPs</li><li>• Public LLCs</li><li>• Non-Traded REITs</li><li>• Brokerage Accounts</li></ul>	\$75				
Precious Metals	<b>May hold coins as allowed under IRC §408(m)(3)</b>	\$125				
Flex	<b>May hold any asset permitted in the Basic IRA as well as:</b> <ul style="list-style-type: none"><li>• Precious Metals</li><li>• Private Stocks</li><li>• Private LPs</li><li>• LLCs</li><li>• Promissory Notes</li><li>• Corporate Debt Offerings</li><li>• Futures Trading Accounts</li><li>• Trust Deeds/Real Estate Contracts</li><li>• Private REITs</li><li>• Perth Mint Certificates</li><li>• Annuities</li><li>• Other private placement investments or non-standard assets.</li></ul>	Account Value	Number of Accounts by Representative*			
			4-9 Accounts	10-24 Accounts	25-99 Accounts	100-499 Accounts
		\$1 - \$49,999	\$260	\$260	\$220	\$210
		\$50,000 - \$99,999	\$320	\$320	\$275	\$255
		\$100,000 - \$249,999	\$380	\$340	\$325	\$305
		\$250,000 - \$499,999	\$500	\$450	\$425	\$400
		\$500,000 - \$999,999	\$650	\$585	\$555	\$520
		\$1,000,000 - \$2,499,999	\$1,200	\$1,080	\$1,020	\$960
		\$2,500,000 - \$4,999,999	\$1,725	\$1,553	\$1,465	\$1,380
		\$5,000,000 - \$7,499,999	\$2,250	\$2,025	\$1,915	\$1,800
\$7,500,000 - \$9,999,999	\$2,775	\$2,498	\$2,360	\$2,220		
\$10,000,000+	\$3,300	\$2,970	\$2,805	\$2,640		
Digital Flex	<b>May hold any asset permitted in the Flex account, plus digital assets</b>	Account Value	Number of Accounts by Representative*			
			4-9 Accounts	10-24 Accounts	25-99 Accounts	100-499 Accounts
		\$1 - \$500,000	\$450			
		\$500,000 - \$999,999	\$650	\$585	\$555	\$520
		\$1,000,000 - \$2,499,999	\$1,200	\$1,080	\$1,020	\$960
		\$2,500,000 - \$4,999,999	\$1,725	\$1,553	\$1,465	\$1,380
		\$5,000,000 - \$7,499,999	\$2,250	\$2,025	\$1,915	\$1,800
		\$7,500,000 - \$9,999,999	\$2,775	\$2,498	\$2,360	\$2,220
		\$10,000,000+	\$3,300	\$2,970	\$2,805	\$2,640

\*If the number of accounts by designated representative is 0-3, see the Institutional Standard Fee Schedule

3

Special Services Fees (Charged at time service is rendered)	
■ Service Fees:	
Cashier's Check, Certified Mail	\$30 each
Overnight Mail	\$50 each
Express Transfer Processing (Reviewed same day)	\$75 each
Expedited Process Service	\$75 each
Paper Bill Pay (Avoided by enrolling in electronic bill pay)	\$15 each
Paper Statement Fee (Avoided by enrolling in eStatement)	\$60 annually
Special Document Processing	\$10 each
Miscellaneous Activity Request	\$75 / hour
Special Handling Fee	\$25 each
■ Funds Transfer Fees:	
Wire Transfer Fee (Domestic & International)	\$30 each
Stop Payment, Return Check Fee	\$30 each
■ Late Fees:	
Late Fee (Failure to pay Annual Fee by provided deadline)	\$50
Late / Expired Documentation Fee	\$75
■ 990-T Processing Fee:	See 990-T Schedule

4	Termination Fees	
	Full Termination Fee	\$250
	Partial Termination Fee	\$100 / asset
	Distribution / Re-Registration of asset	\$100 / asset

5	Additional Precious Metals & Digital Currency Fees				
	Ongoing Fees		Storage & Maintenance Fees***		
	Precious Metals	Precious Metals Liquidation Fee	\$10 / asset (max \$30)	Segregated Storage	\$150
		Coin Shipping/Handling Fee (\$50 min)	Cost + \$10	Non-Segregated Storage	\$100
		In-Kind Transfers & Distributions	\$50/transaction		
Digital Currency	Digital Currency Purchase Fee*	2.0% of Purchase	Not Applicable		
	Digital Currency Sale Fee*	1.0% of Sale			
	Liquidity Cost**	Up to 1% of the purchase/sale order			

The above fees are effective from January to January of any given calendar year, and are subject to change. Account maintenance fees are not prorated. Fee Schedule does not include

Make annual fee payment with check, automatic deduction from account, or



\* Digital currency purchase fees are charged based upon the total value of the purchase request and such fees will reduce the amount available to purchase the digital currency. Fees associated with third party providers are in addition to those fees listed on this Fee Schedule.

\*\*The Liquidity Cost assessed by Equity Trust is included in the final asset price shown to the client.

\*\*\* Precious Metals storage fees are charged at account open and each January thereafter. Precious metals storage fees may be assessed by each separate depository utilized.

P.O. Box 451159 • Westlake, OH 44145 • PHONE: (800) 955-3434 • FAX: (440) 365-1440 • WWW.EQUITYINSTITUTIONAL.COM

EMAIL: IRASERVICES@EQUITYINSTITUTIONAL.COM



## STANDARD FEE SCHEDULE

### 1 Account Establishment Fees

Account Set-up	\$50
----------------	------

### 2 Annual Maintenance Fees

Account Value	Annual Fee	Annual Fee If Digital Currency is Held
\$1 - \$14,999	\$225	\$450
\$15,000 - \$24,999	\$320	\$450
\$25,000 - \$49,999	\$350	\$450
\$50,000 - \$99,999	\$425	\$450
\$100,000 - \$199,999	\$500	\$500
\$200,000 - \$299,999	\$700	\$700
\$300,000 - \$399,999	\$750	\$750
\$400,000 - \$499,999	\$1,075	\$1,075
\$500,000 - \$599,999	\$1,750	\$1,750
\$600,000 - \$699,999	\$1,850	\$1,850
\$700,000 - \$799,999	\$1,950	\$1,950
\$800,000 - \$899,999	\$2,000	\$2,000
\$900,000 - \$999,999	\$2,050	\$2,050
\$1,000,000 - \$1,999,999	\$2,150	\$2,150
\$2,000,000+	\$2,250	\$2,250

### 3 Special Services Fees (Charged at time service is rendered)

<b>Service Fees:</b>	
Cashier's Check, Certified Mail	\$30 each
Overnight Mail	\$50 each
Express Transfer Processing (Reviewed same day)	\$75 each
Expedited Process Service	\$75 each
Paper Bill Pay (Avoided by enrolling in electronic bill pay)	\$15 each
Paper Statement Fee (Avoided by enrolling in eStatement)	\$60 annually
Special Document Processing	\$10 each
Miscellaneous Activity Request	\$75 / hour
Special Handling Fee	\$25 each
<b>Funds Transfer Fees:</b>	
Wire Transfer Fee (Domestic & International)	\$30 each
Stop Payment, Return Check Fee	\$30 each
<b>Late Fees:</b>	
Late Fee (Failure to pay Annual Fee by provided deadline)	\$50
Late / Expired Documentation Fee	\$75
<b>990-T Processing Fee:</b>	See 990-T Schedule

### 4 Additional Precious Metals & Digital Currency Fees

	Ongoing Fees	Storage & Maintenance Fees***
Precious Metals	Precious Metals Liquidation \$10 / asset (max \$30)	Segregated Storage \$150
	Coin Shipping/Handling Fee (\$50 min) Cost + \$10	Non-Segregated Storage \$100
	In-Kind Transfers & Distributions \$50/transaction	
Digital Currency	Digital Currency Purchase Fee* 2.0% of Purchase	Not Applicable
	Digital Currency Sale Fee* 1.0% of Sale	
	Liquidity Cost** Up to 1% of purchase/sale order	

### 5 Termination Fees

Full Termination Fee	\$250
Partial Termination Fee	\$100 / asset
Distribution / Re-Registration of asset	\$100 / asset

The above fees are effective from January to January of any given calendar year, and are subject to change. IRA maintenance fees are not prorated. Fee Schedule does not include brokerage commissions that may apply in the event you opt to include brokerage positions in your IRA through your designated broker-dealer or Equity Trust Company's broker-dealer affiliate.

Make annual fee payment with check, automatic deduction from account, or



\* Digital currency purchase fees are charged based upon the total value of the purchase request and such fees will reduce the amount available to purchase the digital currency. Fees associated with third party providers are in addition to those fees listed on this Fee Schedule.

\*\*The Liquidity Cost assessed by Equity Trust is included in the final asset price shown to the client.

\*\*\* Precious Metals storage fees are charged at account open and each January thereafter. Precious metals storage fees may be assessed by each separate depository utilized.

P.O. Box 451159 • Westlake, OH 44145 • PHONE: (800) 955-3434 • FAX: (440) 365-1440 • EQUITYINSTITUTIONAL.COM  
EMAIL: IRASERVICES@EQUITYINSTITUTIONAL.COM